

# Extreme Prematurity Care

Reasonable Progress or Therapeutic Fury\*?

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**\*accanimento terapeutico**

**I have no financial relationship with manufacturers of any product nor any professional conflicts-of-interests to disclose.**

**I claim no special moral authority simply because I am a physician.**

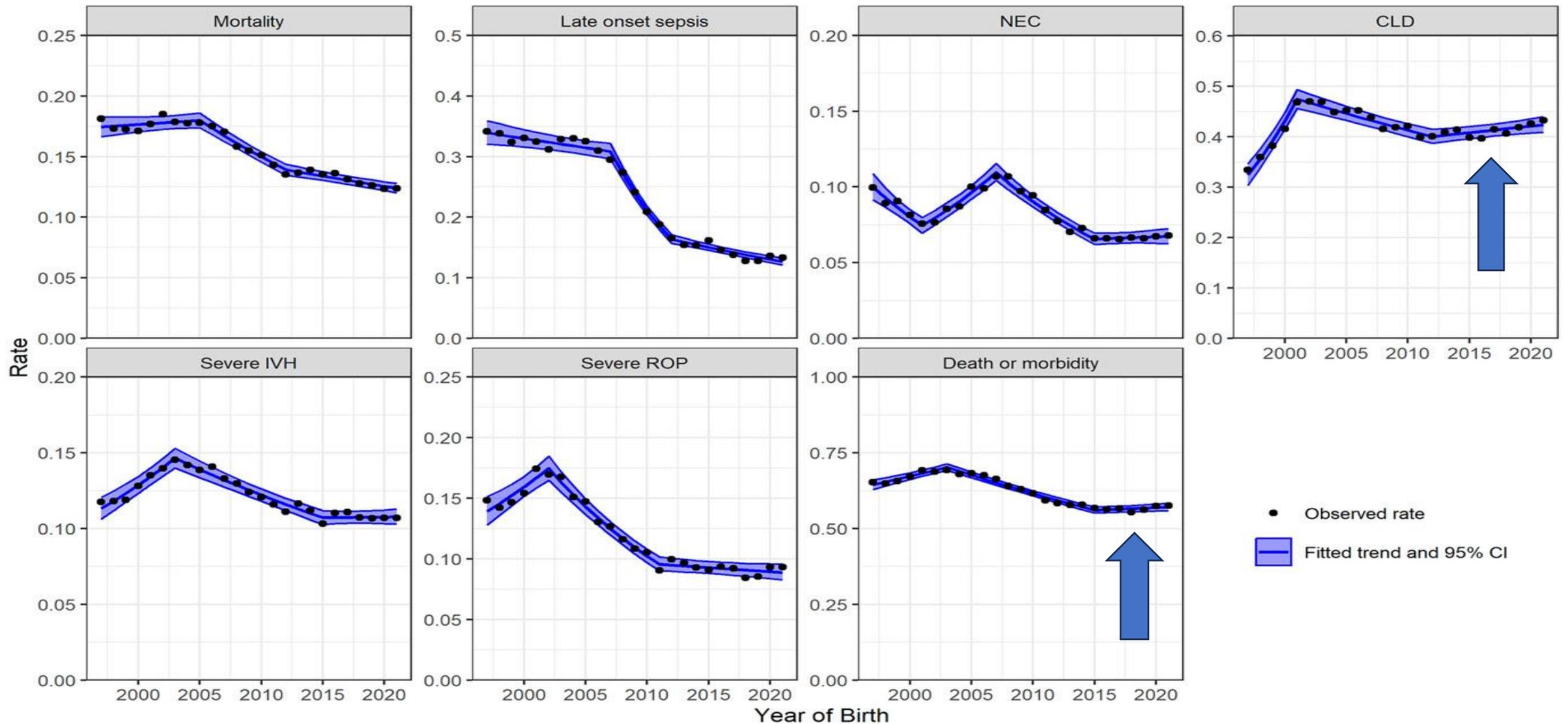
***The primary virtue of Man is to understand.  
Everything excellent is as difficult as it is rare.***

**Baruch Spinoza 1632-1677**

***My sole goal is to connect good reasoning to goodness.***

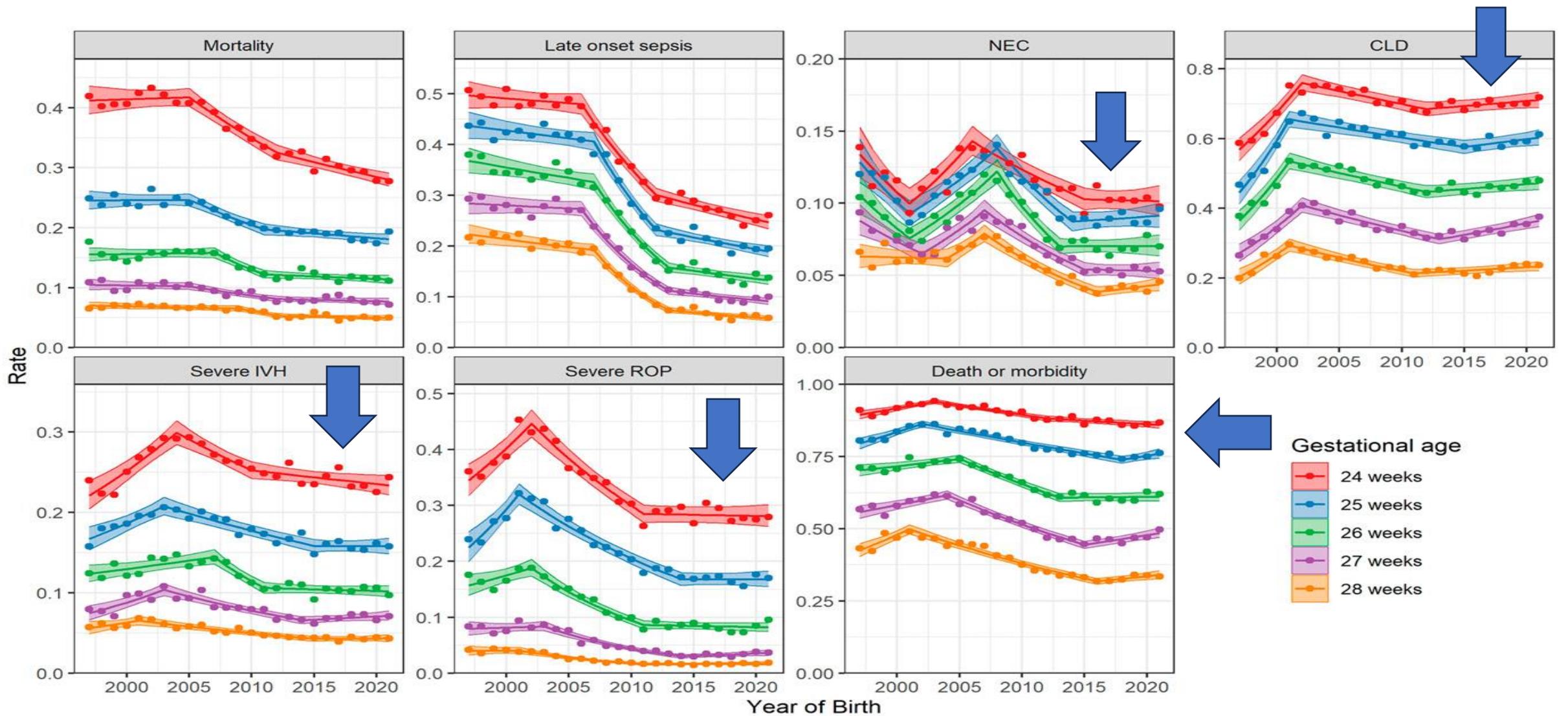
**Philippa Foot 1920-2010**





**Previous VON improvements in mortality and morbidity in 24–28-week infants have slowed, stalled, or reversed. How? Why the ~2010-12 change?**

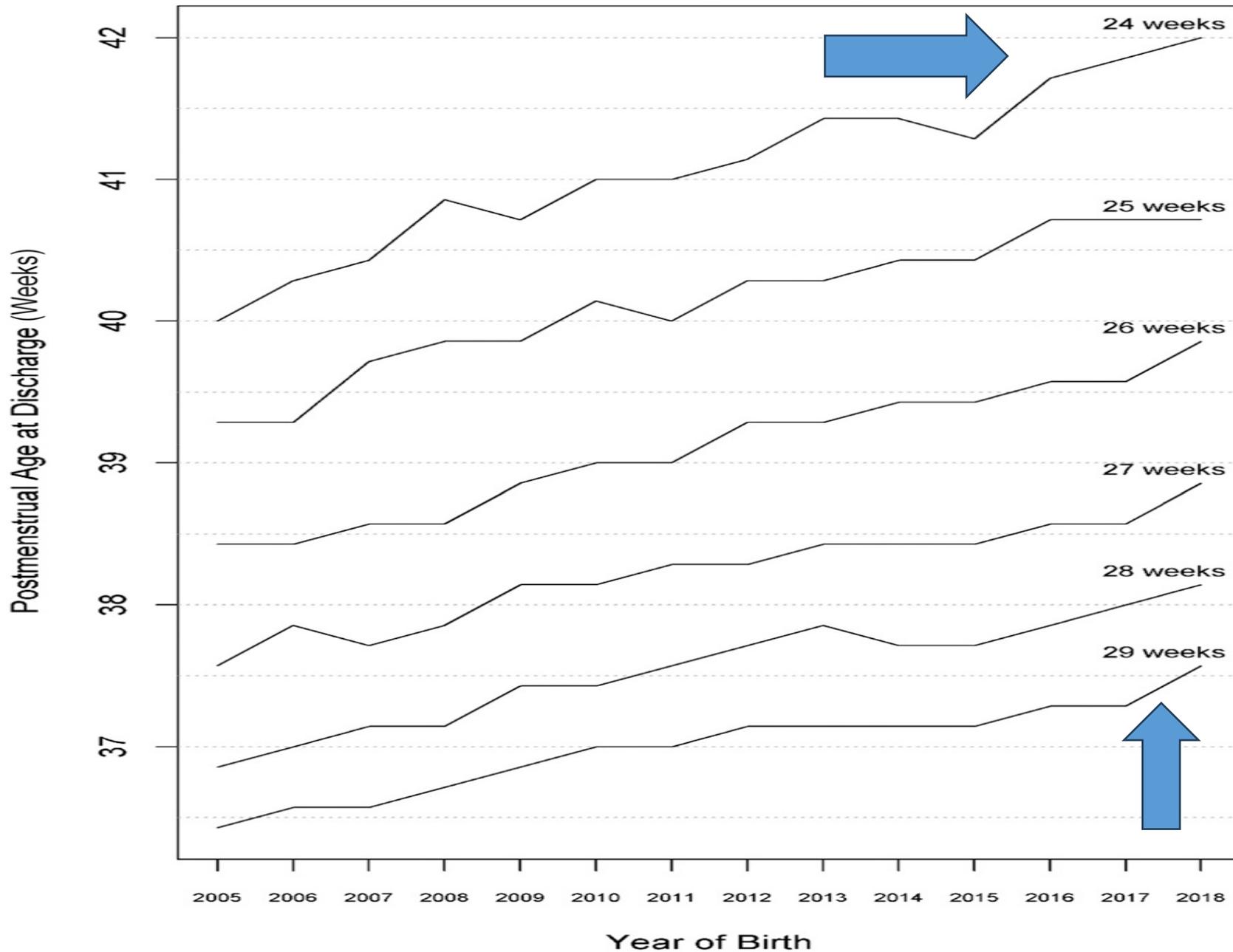
*Horbar et al, Pediatrics 2023.*



**24 and 25 week infants have especially concerning morbidity trends.**

**How? Why the ~2010-2012 change?**

**Horbar et al, *Pediatrics* 2023.**

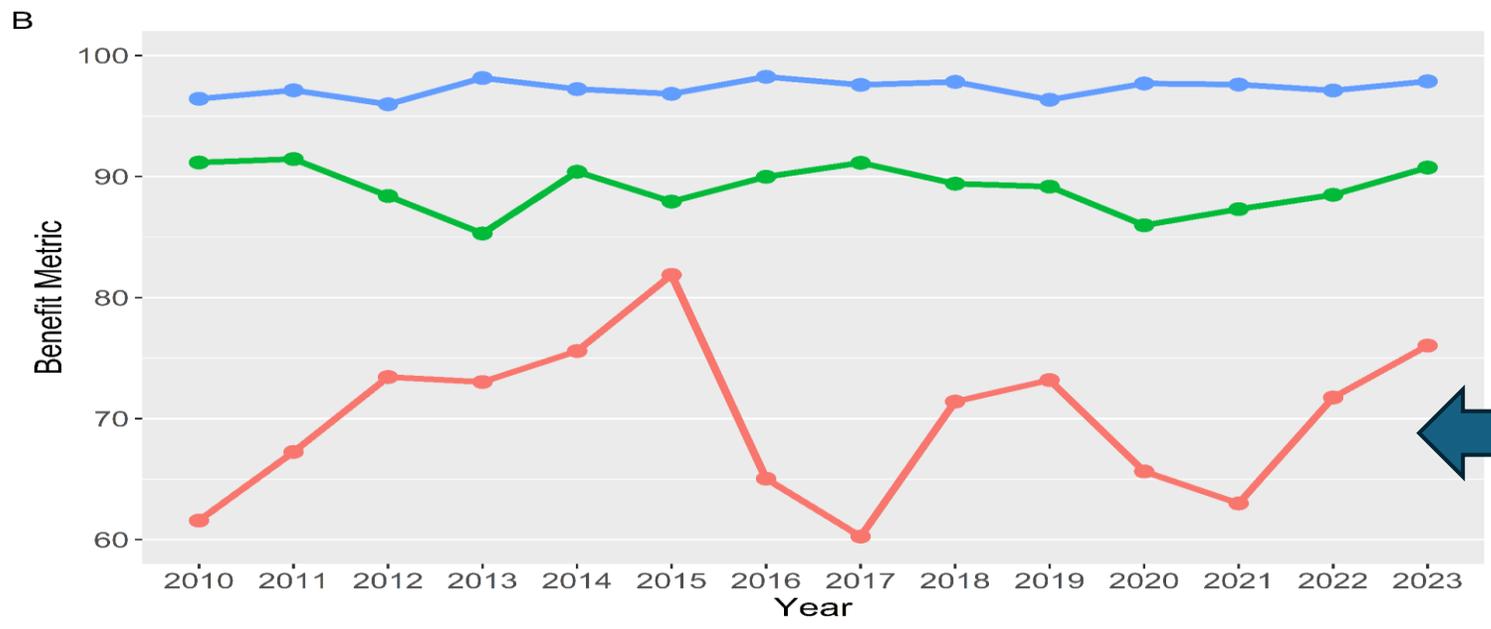
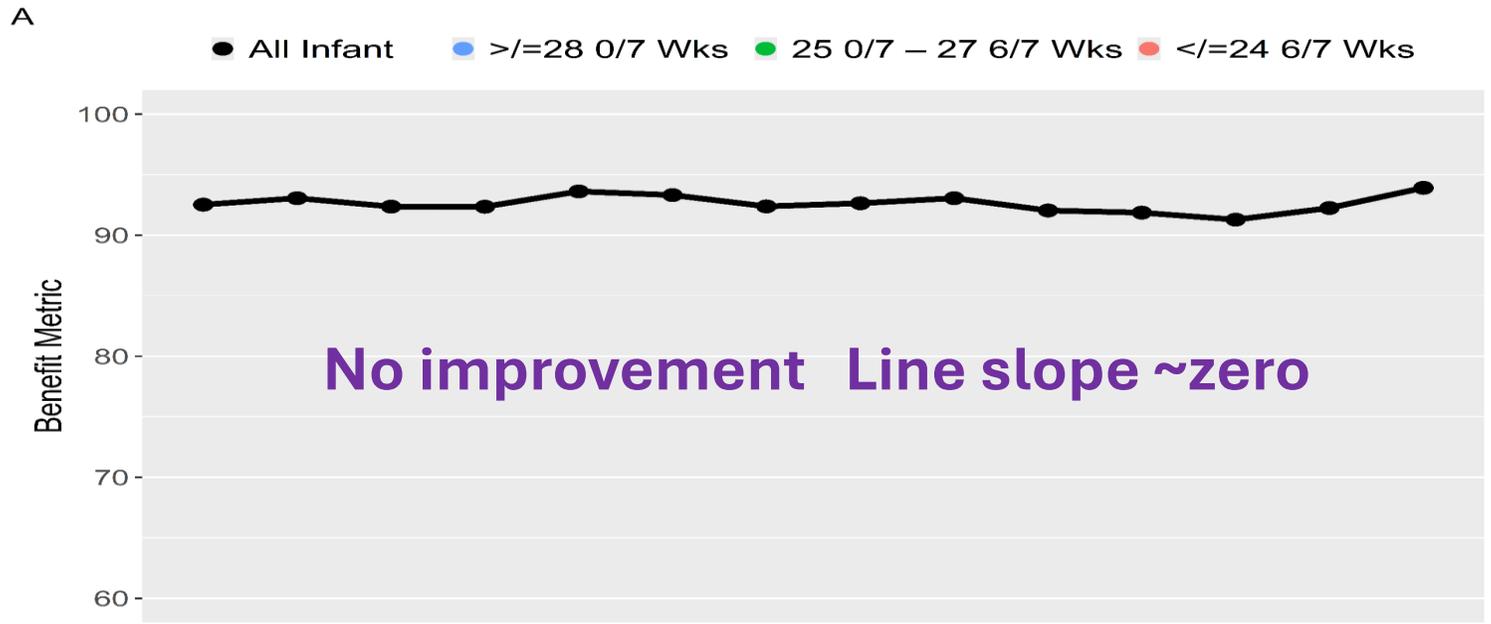


**24-29 week  
length-of-stay is  
significantly  
increasing.**

**Why?  
How?**

**Edwards et al,  
*Pediatrics* 2021.**

**So, our “product” we  
are selling from our  
“factories” is NOT  
improving, yet is  
MORE expensive...**



# Benefit Metric

VLBW 7 Major Morbidities  
Composite, risk-adjusted  
score

- CLD
- SIVH
- SROP
- PVL
- NEC
- FIP
- Any Late Infxn
- Mortality Deduction

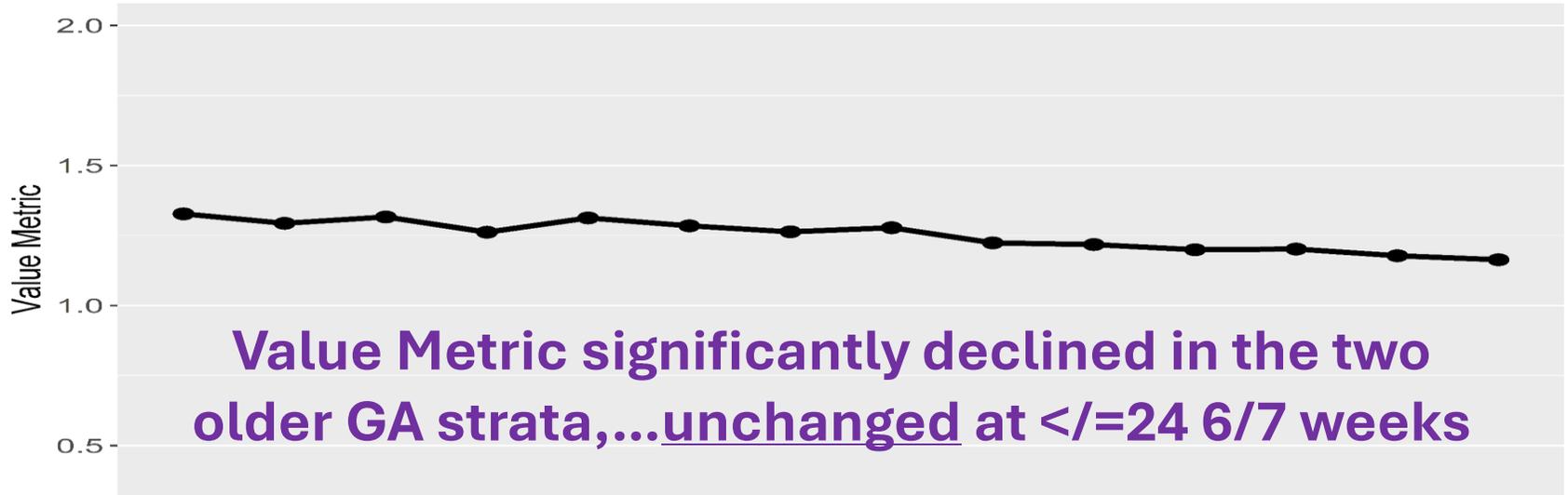
How proficient is a NICU  
in minimizing death  
and/or the 7 major  
morbidities?  
Higher score = Less  
death and/or morbidities



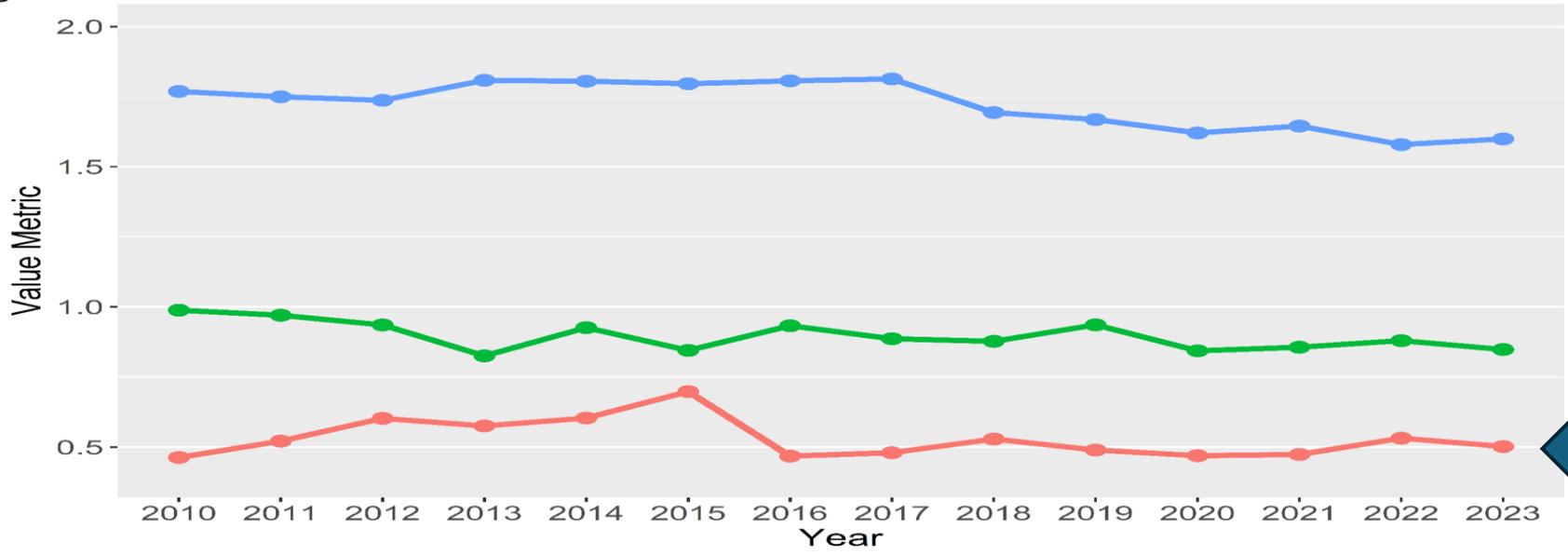
**16 PSJH NICUs**  
**2010-2023**  
**N = 11,795**

A

● All Infant    ●  $\geq 28$  0/7 Wks    ● 25 0/7 – 27 6/7 Wks    ●  $\leq 24$  6/7 Wks



B



# Value Metric

**Benefit Metric**  
normalized to the  
**Total Hospital**  
**Length of Stay in**  
**Survivors**

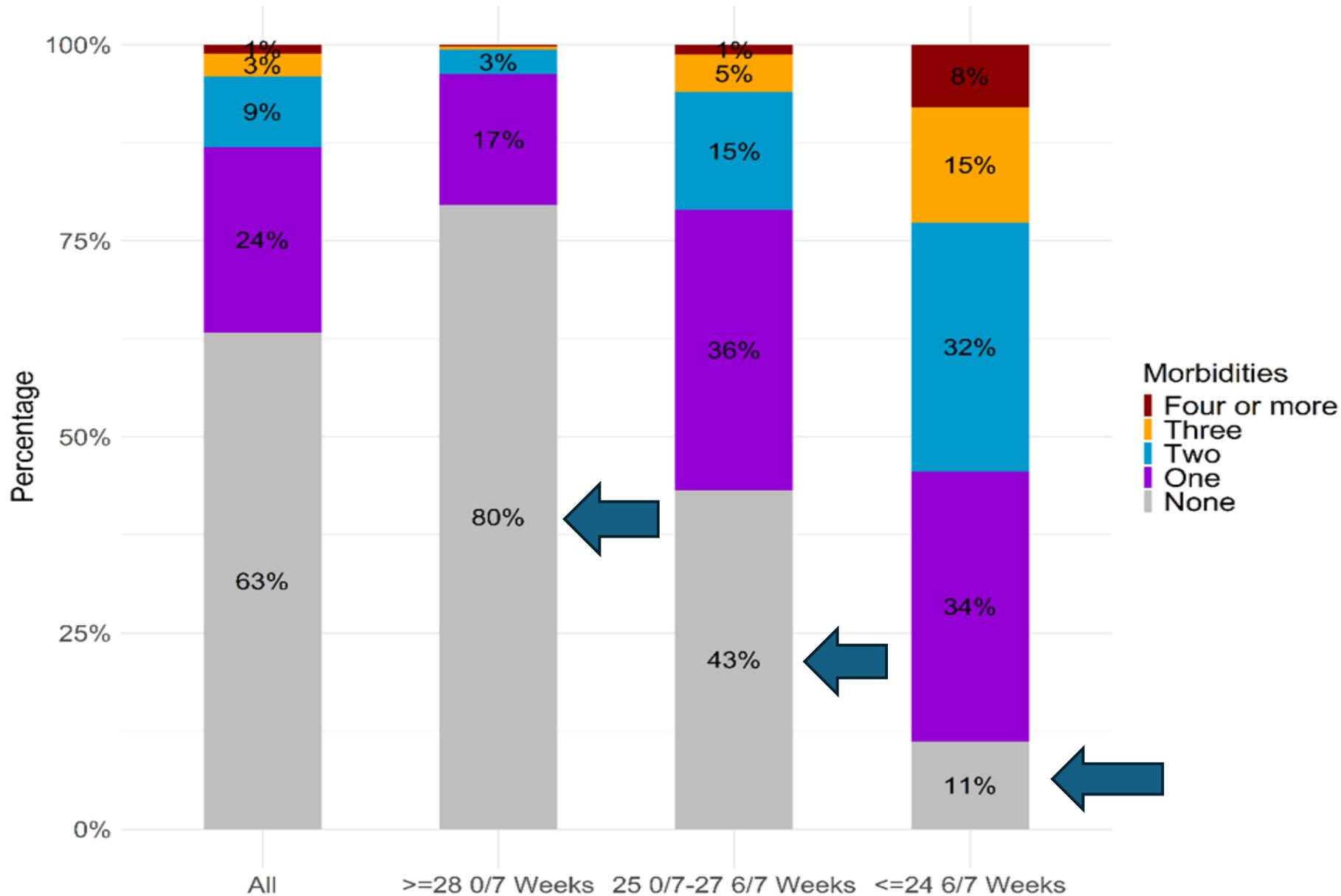
**THLOS = Cost-proxy**

**How efficient is a**  
**NICU in VLBW infant**  
**quality**  
**improvement?**

**Higher score = More**  
**favorable**  
**benefit/cost ratio.**



**Providence St. Joseph  
Health System  
QI Collaboration  
16 NICUs 2010-2023  
10,670 Surviving  
VLBW's**

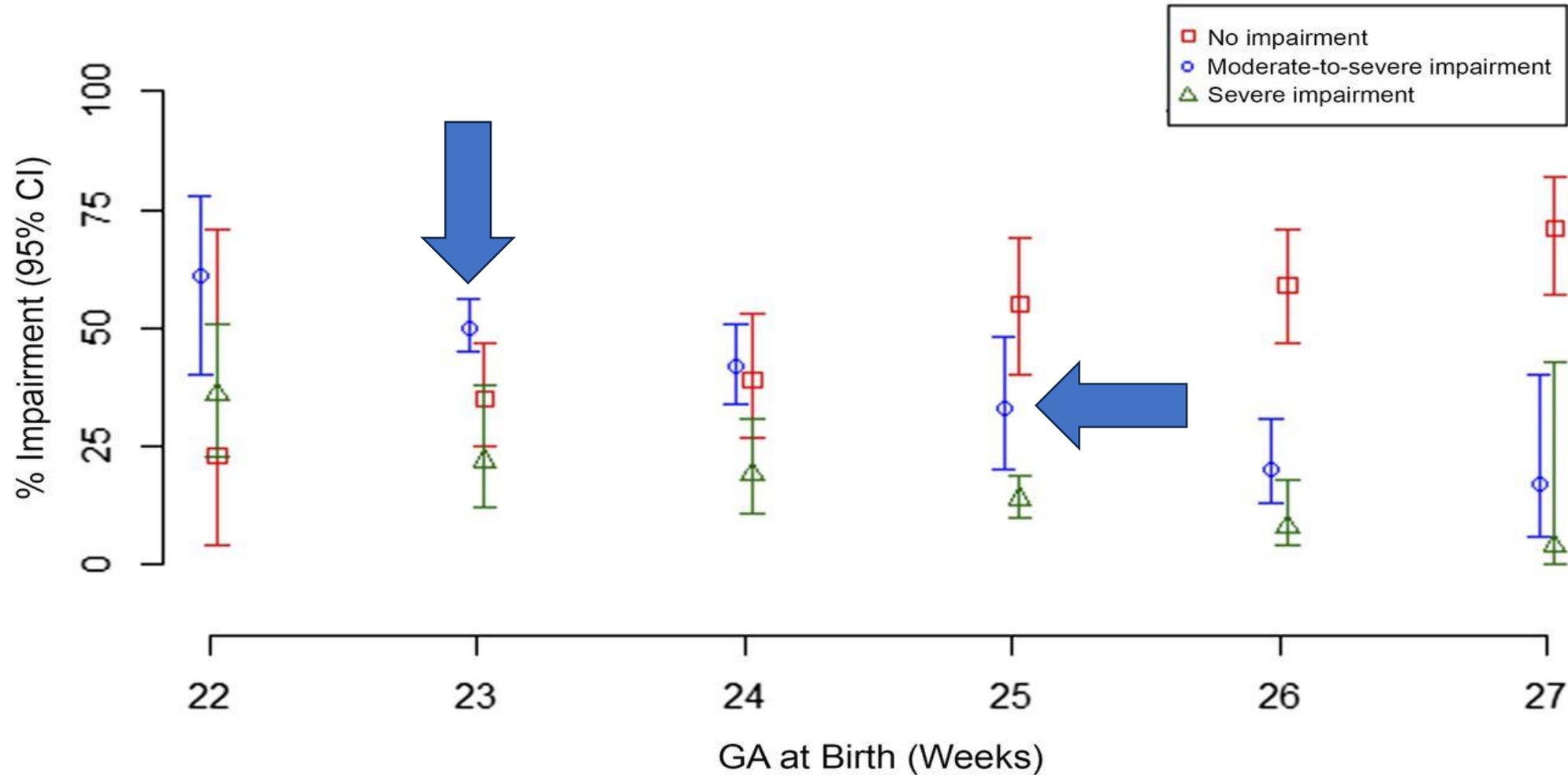


**The gray area in the bars next to arrows: 0/7 major morbidities**

**This is approximately the same percentage of “Normal Neurologic Development” in diverse follow-up studies.**

**That is amazing!**

# Neurodevelopmental outcomes for premature infants born <28 weeks GA



**Are neurodevelopmental impairment rates for infants born 22 to 26 weeks' gestation changing over time comparing time-separated cohorts from a given NICU or study group?**

**Survival rates have increased, but  
have neurologic outcomes improved?**

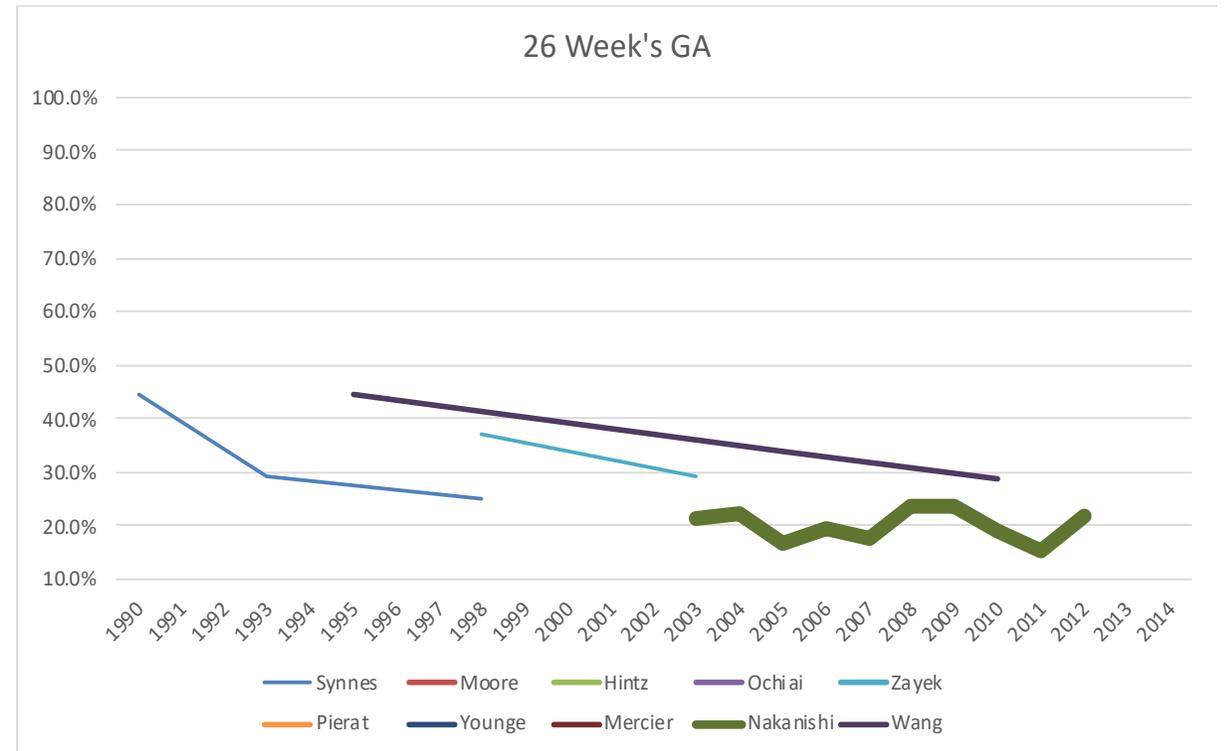
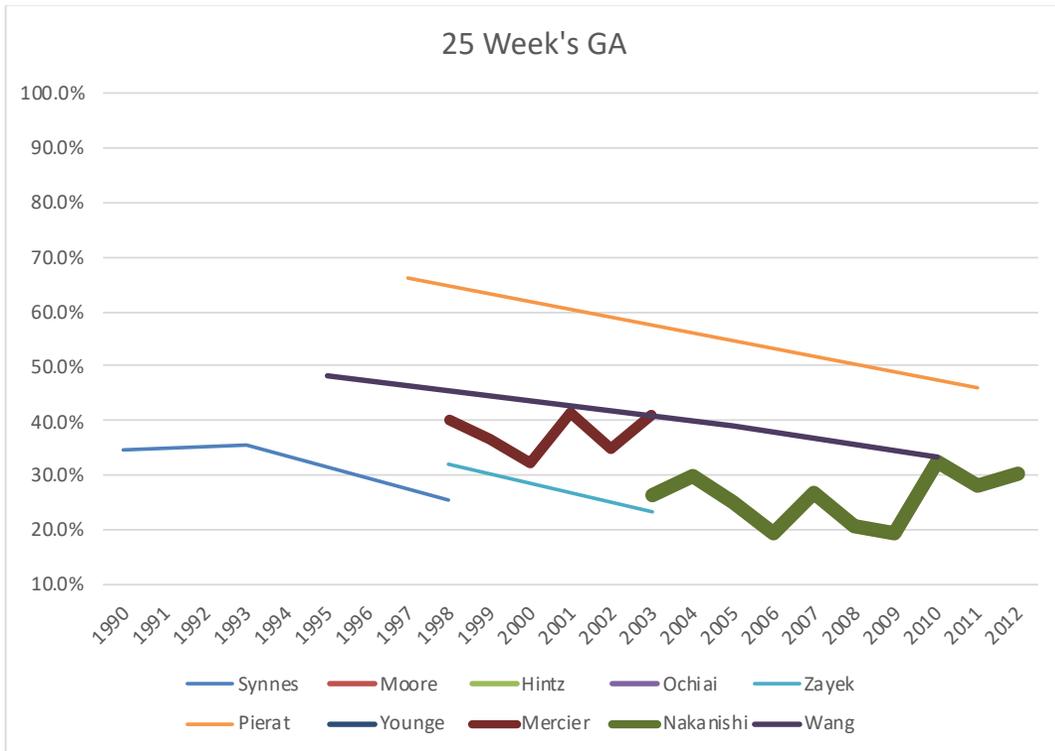
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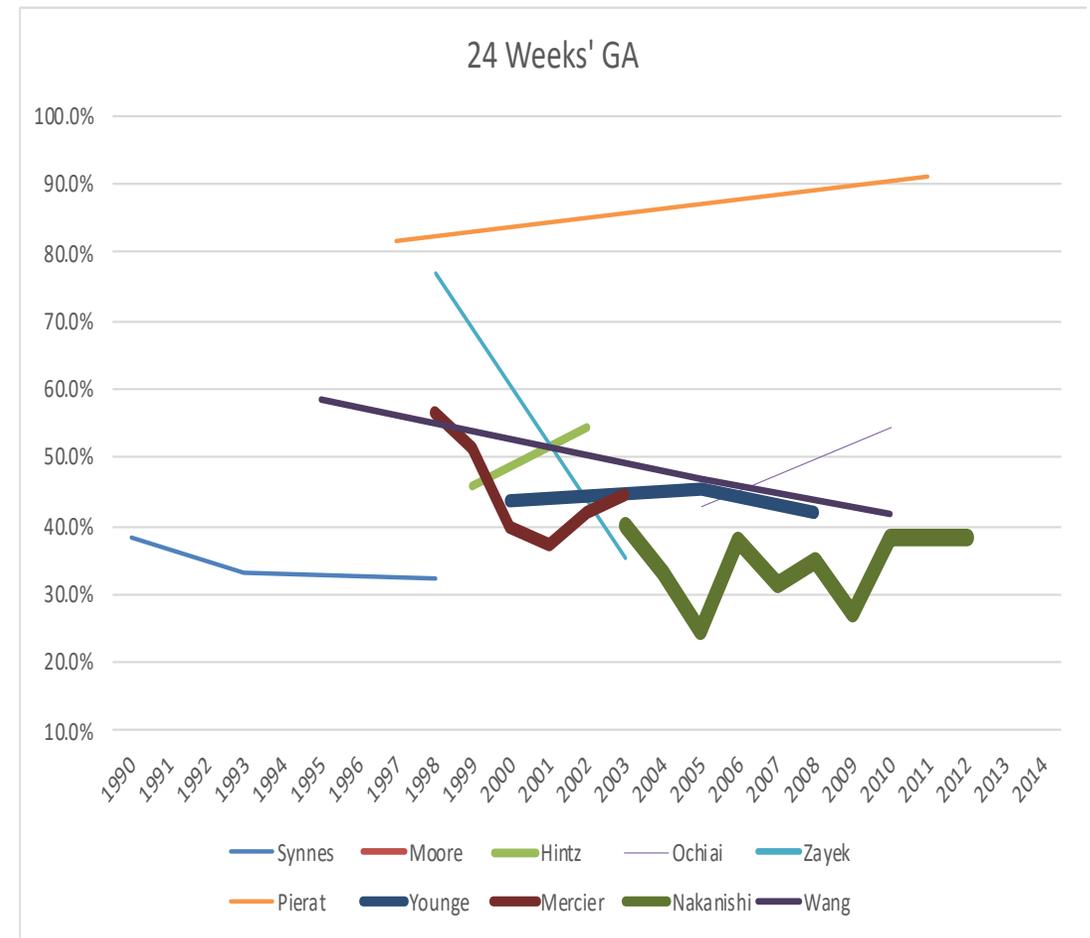
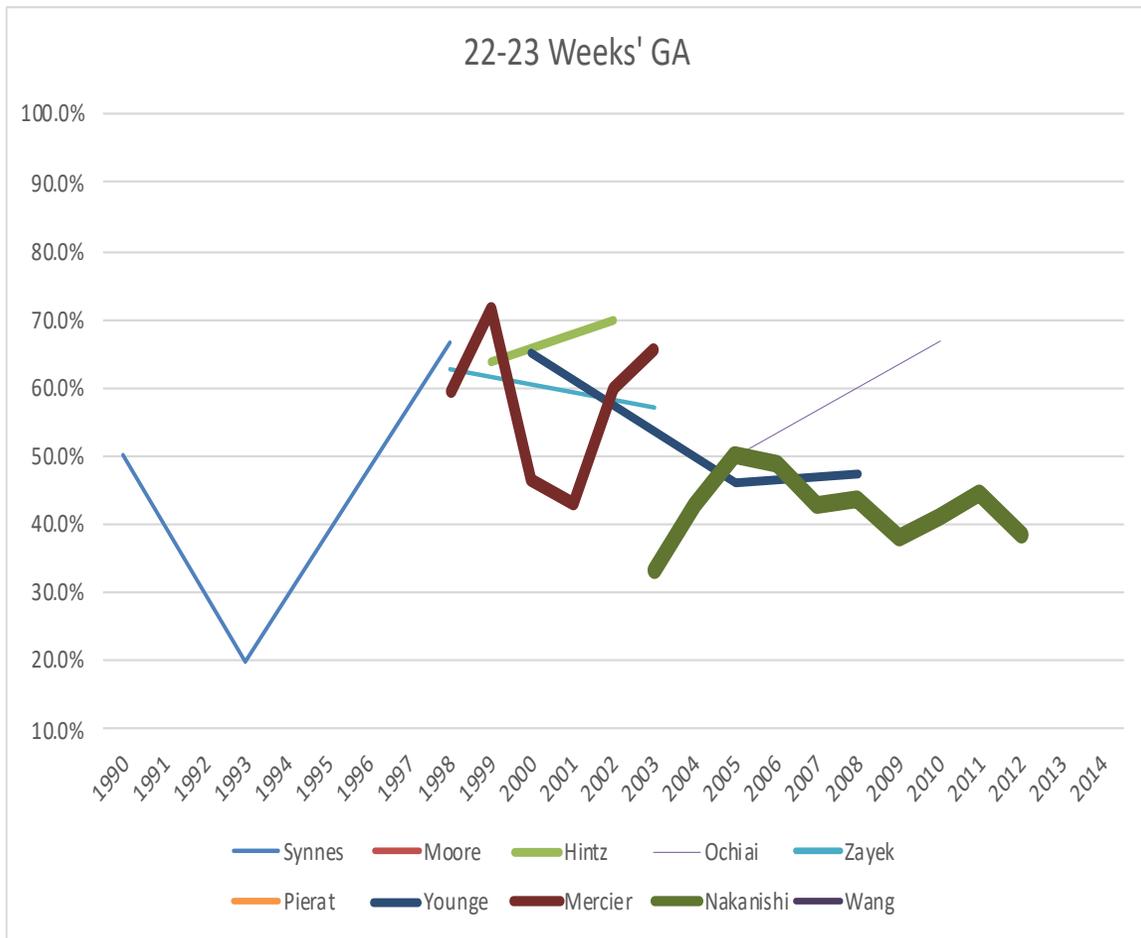
# **Meta-analysis studies needed to report:**

- 1. Infants born 22-26 completed weeks' gestational age or <1000 grams birth weight,**
- 2. From at least 2 discrete cohorts,**
- 3. Cared for within the same NICU or study group,**
- 4. One cohort born 1990 or after, compared to one or more distinct cohort(s) born later.**

# Some evidence of improving neurologic outcomes at 25-26 weeks.

It is not until 26 weeks that infants have a >50% chance  
of surviving with no neurologic impairment.





**No evidence of improving neurodevelopmental outcomes at 22-23-24 weeks.**

**Chance of surviving with no neurologic impairment <u>20%</u>.**

**Resuscitation rates are increasing at  
22-23-24 weeks**

**Survival rates are increasing at  
22-23-24 weeks**

**Long term comprehensive neurologic  
outcomes are not improving at  
22-23-24 weeks**

**High resuscitation rates at 22 and 23 weeks do not translate to the most favorable major morbidity rates at 22 to 27 weeks.**

**Compare NICHD Neonatal Network reports to the VON 'POD', EPIPAGE, and Swiss Neonatal Network reports.**

**Kaempf JW, et al, *ADC FNN* 2020**

# Vermont Oxford Network 2023 Resuscitation Rates

	<u>Resuscitated</u>	<u>Survival</u>
<b>22 Weeks</b>	<b>75%</b>	<b>24% (0, 46)</b>
<b>23 Weeks</b>	<b>93%</b>	<b>48% (0, 73)</b>
<b>24 Weeks</b>	<b>98%</b>	<b>64% (40, 100)</b>

*Of all tyrannies, a tyranny exercised for the good of its victims may be the most oppressive...those who torment us for our own good will torment us without end, for they do so with the approval of their own conscience.*

**C.S. Lewis**

**Random Sample in 2023 VON**  
**100 infants Born @23 Weeks**



**93 are resuscitated**



**45/93 survive to discharge**



**23/45 have significant brain injury and NDI**



**17/22 w/o NDI have neuropsychiatric  
impairments, autism, ADHD, special school needs,  
behavioral and/or executive function disorders.**

Just 5 of the original 93  
resuscitated infants  
experience full  
neurodevelopmental and  
psychologic health.

***If we are victorious in one  
more battle with the Romans,  
we are ruined.***

**King Pyrrhus of Epirus  
Battle of Heraclea, 279 BCE**



**We agree** – There is a boundary at which a premature infant's neurologic impairments can be so significant that a family might prefer palliative comfort care.

**We agree** – It's uncertain whether 22-23-24 week NICU care will result in a healthy child, or a child with neurologic impairments and chronic health issues.

**We agree** – Physicians, families, ethicists, society have never been able to determine an acceptable or fair ratio between the likelihood of the above two uncertainties.





# Sorites Paradox

## “The Line of Demarcation”

What is a heap of sand?

When exactly is it dark?

If we cannot precisely define even the most concrete events, how can we define “quality of life”,....or “suffering”,....or “neurodevelopmental impairment”?

If we cannot concisely define a situation with clarity, accuracy, and fairness,.....then perhaps we ought not take inflexible positions.

There is no USA state or federal law which mandates resuscitation of extremely premature infants. Both “*wrongful death*” and “*wrongful life*” lawsuits have been litigated.



**Baby Doe Laws**

**CAPTA**

**EMTALA**

**BAIPA**

**Executive Order 13952 (2020)**

*The definition of alternatives in any dispute is the supreme instrument of power; whoever determines the options runs the country.*



**E.E. Schattschneider**  
**1960**

**Questionable  
Unreasonable  
Experimental**

**Negotiable  
Optional  
Investigational**

**Advisable  
Mandatory**

Lower margin of  
survival and  
good health

Upper margin of  
survival and  
good health

**ZPD**



**21 weeks**

**Zone of Parental Discretion**

**27 weeks**

**Uncertainty means you are not sure what will happen. This, physicians understand.**

**Risk is the product of: a) something harmful happening and, b) the probability it will happen. This, families understand.**



**Is it permissible (or relevant) for a physician to mention that she/he is the parent of a premature infant?**

**Does that confer special moral authority?**

**Should every obstetrician be a woman?**

**Every urologist a man?**

**Are the best oncologists those who have had cancer?**

**Is it problematic for a neonatologist to promote NICU care for extremely premature infants while his income is high six-figures and with a bonus structure related to census?**

**Is it a conflict-of-interest for a neonatologist to advocate NICU care for extremely premature infants while her principal research interests are CLD and brain injury?**

**Might a physician who recommends comfort care not be particularly skilled, or burned out, and/or on a fixed salary regardless of NICU census?**

How do we mediate a clash of “*moral values*” amongst a pregnant woman at 23 weeks, an obstetrician, a nurse, a neonatologist, a hospital administrator, and a family member?

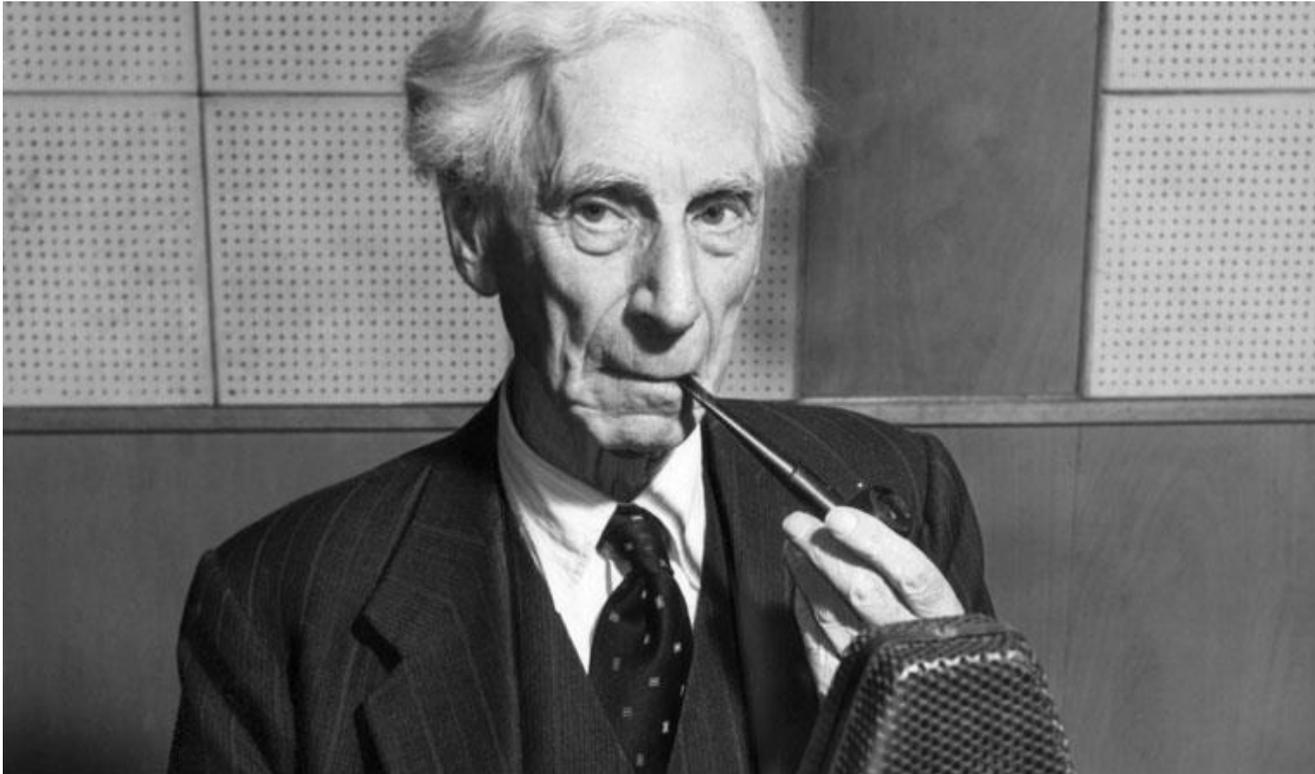
....a Protestant, a Jew, a Buddhist, a non-theist, a Catholic, and a Unitarian,...one had a healthy premature infant, one a handicapped child, and one no children.



Coe∃ist



*Any desire, if strong enough,  
will produce its' own morality.*



**Bertrand Russell**

**1872-1970**

# What do women think years after the birth of their 22-25 week infant?

Decision Regret - “Active Care” v. “Comfort Care” v. “Other”

2004-2019 787 eligible women 2 health systems

242/787 (31%) completed survey

Regret scores elevated in all 3 groups, highest in “comfort care”

Less regret significantly correlated with:

1. Prenatal consult done with OB/Neo
2. Woman indicated she was the primary decision-maker, not the MDs
3. Child alive at the time of survey\*

\*highest scores (100) in two women with NDI children  
Belden, Kaempf, Guillen et al, *Arch Dis Child FNN* 2024

**10% of USA's ~3.7 million births/year are <37 weeks.**

**22 to 25 weeks births are  
~0.3% of USA births – 12,000/year**

**USA will spend \$4.4 trillion in 2025 on healthcare.  
~2% is newborn care first year of life - \$90 billion/year**

**Extremely premature infant survivor costs first year of life  
~\$750,000 - \$1,500,000.**

**Does not include \$\$\$ NICU deaths, out-of-pocket expenses,  
lost opportunity costs, employment restrictions,  
expenditures after year one, nor sibling effects.**

**USA 2024 fertility rate 1.63**

## Opportunities to improve short and long-term outcomes of 22-25 week infants?

Antenatal corticosteroids 6 hours - 7days before birth

**MgSO<sub>4</sub>**

Placental Transfusion

**Euthermia**

NCPAP/NIV as feasible

**Early surfactant - LISA/MIST as feasible**

Minimize mechanical ventilation

**Colostrum/Breast milk/Early feeding protocols**

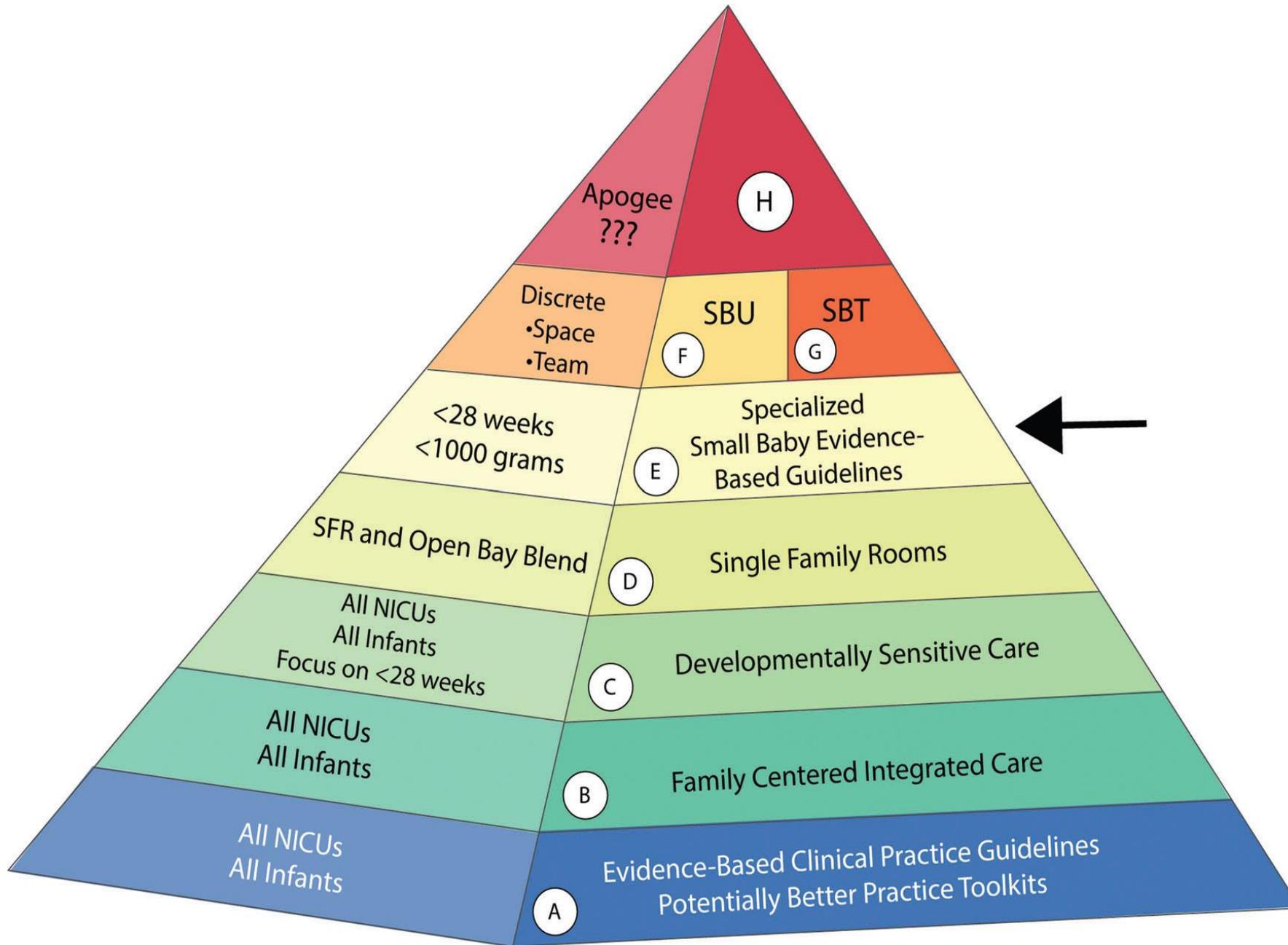
Probiotics

**Minimize kinesthetic/light/sound stress**

Kangaroo care

**Reduce painful procedures/blood draws**

Minimize antibiotics



# Small Baby Units

Popular  
Expensive \$\$\$  
Marketing Tool...?

**SBU's have not reliably improved mortality, morbidity rates, nor neurodevelopmental outcomes.**

Kaempf and Gautham,  
*J Perinatology* 2021

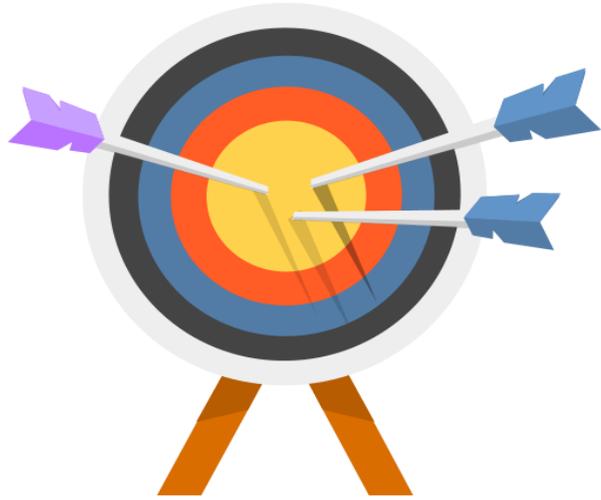
# King Pyrrhus update

*It is an axiom that action for short-term human benefit will bring long-term ecological or social problems which will demand unacceptable effort and expense for their solution.*



**Nobel Prize Laureate, 1960**

**F.M. Burnet**



# The Triple Aim

**Improve Population Health**

**Improve Individual  
Care Experience  
STEEP**

**Improve Affordability  
Reduce Cost**

**Is this a realistic aim?**

**75% of diagnostics and therapeutics  
in healthcare do NOT have a solid  
evidence base.**

**“That’s just how we  
do it here.”**

**John Ioannidis**

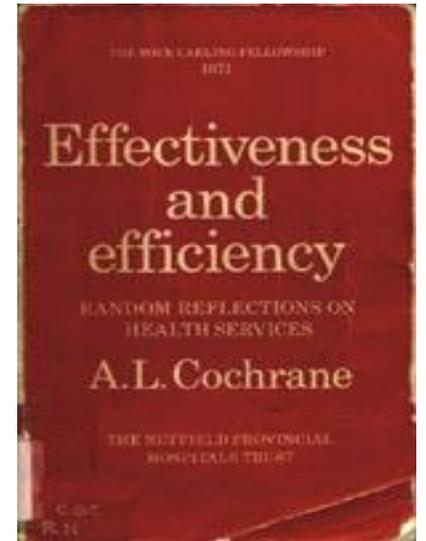


*Why Most Published Research Findings are False, PLoS Med, 2005*

# EBM Triple Aim

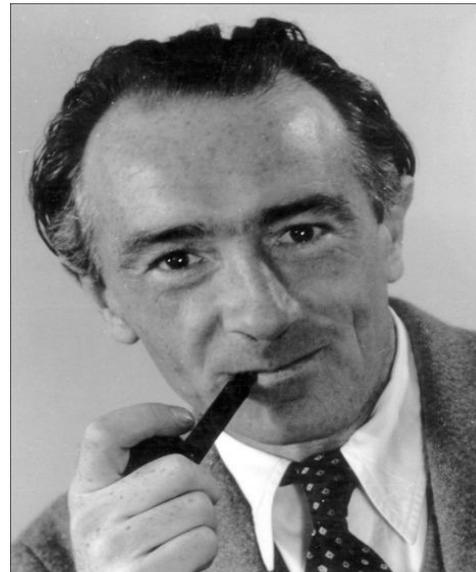
**Population Health and Patient Preference**

**Value Pluralism**



**Best Available Evidence**

- RCTs
- Meta-analyses
- Systematic Reviews
- Observational ITS Trials
- Artificial Intelligence LLMs
- EHR Clinical Decision Tools



**Clinical Expertise**

- Big Picture Wisdom
- Knowledge and Experience
- Group Culture Awareness
- Reason/Compassion/Responsible

# THE FUNDAMENTAL ISSUE

How do we decide if the rights and “best interests” of pregnant women and family are in agreement or conflict with the “best interests” of the fetus/infant?

How is the infant’s “best interest” brain injury, chronic health issues,...or death?

Are pregnant women obligated to agree to comfort care or NICU care because (through no intention of their own) they might deliver an extremely premature infant?

# The fundamental issue is NOT:

- a) can these infants survive
- b) can they be healthy
- c) can they rate their lives as good
- d) do we have the resources to care for premies
- e) we provide extraordinary measures of ICU care  
for sick children and adults

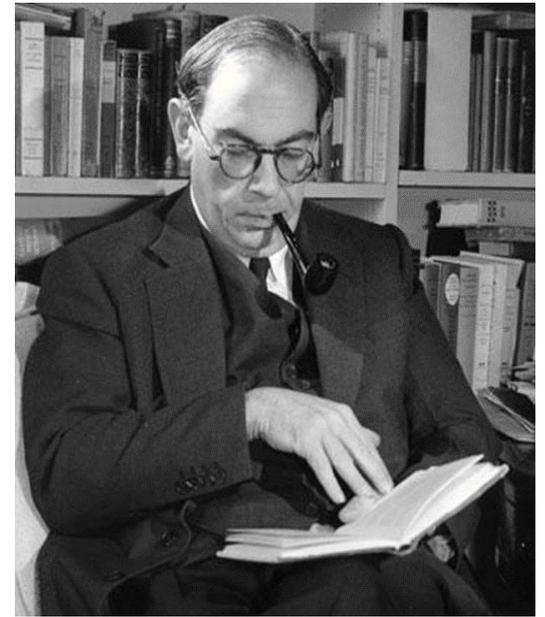
# **Just my opinion:**

**The essence of periviability dialogue is not to establish moral truth, nor to negotiate “best interests”, nor to character-build.**

**Our authentic purpose is to clarify the meaning of extremely premature birth to the pregnant woman,...and obtain informed consent.**

# Value Pluralism

Human values are irreducibly diverse,  
often conflicting, and ultimately  
incommensurable.



Isaiah Berlin

Fundamental tenets of right and wrong are context  
dependent and hugely arguable.

Choices often must be made in which  
every available option involves  
some degree of loss or pain.

# Take Home Thoughts

Formulate consensus periviability dialogue guidelines and use decision aids.

**Do this at your hospital via broad consensus; be inclusive of diverse sentiments, aka value pluralism.**

**Read deeply – others' guidelines, long-term outcomes, woman and family viewpoints, history, philosophy, economics, novels, poetry....**

**Have you ever met a woman who delivered an infant <25 weeks who believes that mandatory comfort care or NICU care should be a hospital or societal policy...?**

**Have you ever met a female obstetrician who believes pregnant women should be required to have 22-23-24 week infants resuscitated...?**

**Moralizers have all the answers....**

**Moralists have all the questions....**

**The goal of Artificial Intelligence is for health care practitioners to make as few decisions as possible,....  
and for patients, families, and communities to make as many decisions as feasible.**

**Someday, hierarchy-based extreme prematurity directives will be replaced by AI-augmented shared decision-making.**

Tragedy isn't always "*right versus wrong*".  
History has never shown sustained harmony.

Reasonable progress or "*accanimento terapeutico*"...?

Tragedy is physicians, families, society refusing to acknowledge  
biologic and social circumstances that neither effort,  
intelligence, nor courage can remedy.

*Might we have the forbearance and tranquility to  
accept that which we cannot change.*

*Might we have the courage to change that which we must.*

*Might we have the collective wisdom to  
understand the difference.*

**It's harmful and expensive to employ unproven preventives, diagnostics, and/or therapeutics.**

**In the long run, we do not save time, \$\$\$, nor heartache by persisting with suboptimal, unproven, unsafe practices.**

**Which of us would personally choose care at a hospital mired in “average”, tacitly OK with 50<sup>th</sup> percentile metrics?**

**The wellbeing of pregnant women and families is the foundation of every civilized society.**

**Contact me anytime with questions, ideas, feedback, collaboration.  
[joseph.kaempf@providence.org](mailto:joseph.kaempf@providence.org)**



**Thank you for the greatest generosity,  
...your attention.**